



021904

16623 U.S. PTO

22390 U.S. PTO  
10/784048

021904

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

Docket No. : 51884/DBP/T360  
Inventor(s) : Hidenori Taga, and Koji Goto  
Title : OPTICAL RECEIVING APPARATUS AND METHOD  
Express Mail Label No. : EV 351237888 US

**ADDRESS TO:** Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

February 19, 2004

1. ☒ **FEE TRANSMITTAL FORM** *(Submit an original, and a duplicate for fee processing).*

2. **IF A CONTINUING APPLICATION**

☒ This application is a continuation of patent application No. 10/460,895.

Prior application information: Examiner David S. Kim; Group Art Unit: 2633.

\_\_\_\_\_ This application claims the benefit of Provisional Application No. pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4).

3. **APPLICATION COMPRISED OF**

**Specification**

28 Specification, claims and Abstract (total pages)

**Drawings**

14 Sheets of formal drawing(s) (FIGS. 1 to 19)

**Declaration and Power of Attorney**

\_\_\_\_\_ Newly executed

\_\_\_\_\_ Unexecuted declaration

☒ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_\_\_ **Microfiche Computer Program** *(Appendix)*

5. \_\_\_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** *(if applicable, all necessary)*

\_\_\_\_\_ Computer Readable Copy

\_\_\_\_\_ Paper Copy (identical to computer copy)

\_\_\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR §1.27**

\_\_\_\_\_ Applicant(s) and any others associated with it/them under §1.27(a) are a **SMALL ENTITY**

---

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

---

Docket No.: 51884/DBP/T360

---

**7. ALSO ENCLOSED ARE**

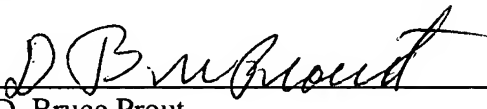
- \_\_\_\_\_ Request and Certificate under 35 U.S.C. §122(b)(2)(B)(i) **Request for Non-Publication**  
\_\_\_\_\_ Preliminary Amendment  
\_\_\_\_\_ Includes "Cross-Reference to Related Applications"  
  X   A Copy of the Petition for Extension of Time for the parent application is enclosed. The required fee was paid with the Petition  
  x   An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed  
  X   This application is owned by KDD SUBMARINE CABLE SYSTEMS INC. pursuant to an Assignment recorded at Reel 014181, Frame 0222  
  X   Information Disclosure Statement (IDS)/PTO/SB/08A/B  
\_\_\_\_\_ Copies of IDS Citations  
\_\_\_\_\_ Certified copy of Priority Document(s) (*if foreign priority is claimed*)  
\_\_\_\_\_ English Translation Document (*if applicable*)  
  X   Return Receipt Postcard (MPEP 503) (should be specifically itemized).  
\_\_\_\_\_ Other:

**8. CORRESPONDENCE ADDRESS**

**CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068**  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: February 19, 2004**

Docket No. : 51884/DBP/T360  
Inventor(s) : Hidenori Taga, and Koji Goto  
Title : OPTICAL RECEIVING APPARATUS AND METHOD

Duplicate X

**FEE DETERMINATION**

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	11 - 20	= 0	- x \$9.00	0 x \$18.00	0
INDEPENDENT CLAIMS	<b>3</b> - 3	= 0	- x \$43.00	0 x \$86.00	0
MULTIPLE-DEPENDENT CLAIMS FEE			\$145.00	\$290.00	0
BASIC FEE			\$385.00	\$770.00	770
TOTAL FILING FEE					\$770
List Independent Claims: 1, 5, <b>8</b>					

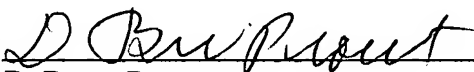
**METHOD OF PAYMENT**

X Payment Enclosed: Check for \$770.00

X The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/dlf

AAM PAS550879.1-\* -02/19/04 9:35 AM

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PETITION FOR EXTENSION OF TIME  
FROM THE OFFICE ACTION

Applicant : Hidenori Taga, et al.  
Application No. : 10/460,895  
Filed : June 12, 2003  
Title : OPTICAL RECEIVING APPARATUS AND METHOD  
Grp./Div. : 2633  
Examiner : David S. Kim  
Docket No. : 50569/DBP/T360

COPY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
February 19, 2004

Commissioner:

Applicant petitions the Commissioner to extend the time for response to the Office action dated November 5, 2003 for one month(s) from February 5, 2004 to March 5, 2004.

The fee for extension of time required by 37 CFR § 1.17 is calculated below.

CALCULATION OF FEE			
LENGTH OF EXTENSION	SMALL ENTITY	LARGE ENTITY	FEE
WITHIN FIRST MONTH	\$ 55	\$ 110	\$110
WITHIN SECOND MONTH	\$ 210	\$ 420	\$
WITHIN THIRD MONTH	\$ 475	\$ 950	\$
WITHIN FOURTH MONTH	\$ 740	\$1480	\$
WITHIN FIFTH MONTH	\$1005	\$2010	\$

Submitted herewith is a check for \$ 110.00 to cover the cost of the extension.

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

I hereby certify that this correspondence is being deposited with the  
U.S. Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-  
1450 on:  
2-19-04  
(Date of Deposit)

By

D. Bruce Prout

D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam  
AAM PAS551021.1-\*02/19/04 4:42 PM